

STATEMENT UNDER 37 CFR 3.73(b)

Docket No. 5618P3611

Applicant/Patent Owner: Abbott Cardiovascular Systems Inc.

Application No./Patent No.: 10/676,616 Filing/Issue Date: 9/30/2003

Entitled: DEFLECTABLE CATHETER ASSEMBLY AND METHOD OF MAKING SAME

Abbott Cardiovascular Systems Inc., a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

- the assignee of the entire right, title and interest; or
- an assignee of less than the entire right, title and interest.

The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel , Frame , or for which a copy thereof is attached.

OR

- B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Mina Chow, et al. To: Advanced Cardiovascular Systems.
The document was recorded in the Patent and Trademark Office at Reel 014574 , Frame 0373 , or for which a copy thereof is attached.

2. From: Barbara Stemberg To: Advanced Cardiovascular Systems.
The document was recorded in the Patent and Trademark Office at Reel 016003 , Frame 0157 , or for which a copy thereof is attached.

3. From: Advanced Cardiovascular To: Abbott Cardiovascular Systems Inc.
The document was recorded in the Patent and Trademark Office at Reel 022599 , Frame 0585 , or for which a copy thereof is attached.

4. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____ , Frame _____ , or for which a copy thereof is attached.

Additional documents in the chain of title are listed on a supplemental sheet.

Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302-302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of assignee.

4/29/09

Date

William T. Babbitt

Signature

William Thomas Babbitt, Reg. No. 39,591

Typed or printed name

Attorney of Record

Title

RECEIVED
JAY 19 2004

UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE*AFLY, SOKOLOFF, TAYLOR & ZAFMAN LLP
LOS ANGELES

MAY 05, 2004

PTAS

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
JAMES C. SCHELLER
12400 WILSHIRE BOULEVARD, 7TH FLOOR
LOS ANGELES, CALIFORNIA 90025

102573234A

5/18/2004
LAW OFFICES OF
JCSUNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/30/2003

REEL/FRAME: 014574/0373

NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNEE'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNEE:
CHOW, MINA
DOC DATE: 09/24/2003ASSIGNEE:
STRAMBERG, BARBARA
DOC DATE: 09/24/2003ASSIGNEE:
WEBLER, WILLIAM E.
DOC DATE: 09/29/2003ASSIGNEE:
SIMPSON, JOHN A.
DOC DATE: 09/29/2003ASSIGNEE:
YAMBAO, AUGUST R.
DOC DATE: 09/29/2003ASSIGNEE:
ASONGWE, GABRIEL F.
DOC DATE: 09/24/2003CC: 33
10-16-07

ENTERED

JUN 1 2004

STATUS DB-LA

ASSIGNOR: CHIU, JESSICA G. DOC DATE: 09/24/2003

ASSIGNOR: LIU, LILI DOC DATE: 09/25/2003

ASSIGNOR: BEYERLEIN, DAGMAR DOC DATE: 09/24/2003

ASSIGNEE:
ADVANCED CARDIOVASCULAR SYSTEMS,
INC.
3200 LAKESIDE DRIVE
SANTA CLARA, CALIFORNIA 95054

SERIAL NUMBER: 10676616 FILING DATE: 09/30/2003
PATENT NUMBER: ISSUE DATE:

VIOLET MCCOY, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS



R P C a t t o D

UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
LOS ANGELES

JULY 13, 2005

PTAS

JAMES C. SCHELLER
12400 SOKOLOFF, TYLOR ET AL.
12400 WILSHIRE BOULEVARD, 7TH FLOOR
LOS ANGELES, CA 90025

CORRECTED
NOTICE

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES DIVISION, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 11/22/2004

REEL/FRAME: 016003/0157
NUMBER OF PAGES: 8

BRIEF: ASSIGNMENT OF ASSIGNEE'S INTEREST (SEE DOCUMENT FOR DETAILS)

ASSIGNOR:

DOC. DATE: 04/06/2004

ASSIGNEE:
ADVANCED CARDIOVASCULAR SYSTEMS,
INC.
3200 LAKESIDE DRIVE
SANTA CLARA, CALIFORNIA 95054

SERIAL NUMBER: 10676616
PATENT NUMBER:
TITLE: DEFLECTABLE CATHETER ASSEMBLY

FILING DATE: 09/30/2003
ISSUE DATE:

III-202005

DOROTHY RILEY, PARALEGAL
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE



500843695A

APRIL 28, 2009

PTAS

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP
1279 OAKMEAD PARKWAY
SUNNYVALE, CA 94085-4040

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF
THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS
AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER
REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE
INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA
PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD
FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY
CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350.
PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE,
MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 04/24/2009

REEL/FRAME: 022599/0585
NUMBER OF PAGES: 3

BRIEF: CHANGE OF NAME (SEE DOCUMENT FOR DETAILS).
DOCKET NUMBER: 5618P3611

ASSIGNOR:

ADVANCED CARDIOVASCULAR SYSTEMS,
INC.

DOC DATE: 02/09/2007

ASSIGNEE:

ABBOTT CARDIOVASCULAR SYSTEMS INC.
3200 LAKESIDE DRIVE
SANTA CLARA, CALIFORNIA 95054

SERIAL NUMBER: 10676616

FILING DATE: 09/30/2003

PATENT NUMBER:

ISSUE DATE:

TITLE: DEFLECTABLE CATHETER ASSEMBLY AND METHOD OF MAKING SAME

022599/0585 PAGE 2

TONYA LEE, EXAMINER
ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION